QP CODE: 23104858

23104858

Reg No : .....

Name : .....

BFM DEGREE (CBCS) REGULAR/IMPROVEMENT/REAPPEARANCE EXAMINATIONS, FEBRUARY 2023

First Semester

Bachelor of Financial Markets

Core Course - FM1CRT02 - INTRODUCTION TO CAPITAL MARKET

2020 Admission Onwards

9707B109

Time: 3 Hours Max. Marks : 80

Part A

*Answer any **ten** questions.*

*Each question carries **2** marks.*

1. Who all are the participants of Security Market?
2. Enumerate the benefits derived from investing in debt funds.
3. Write about Non-Institutional Investors.
4. Expand QIP and explain.
5. What is a Red-Herring Prospectus?
6. What are the disadvantages of Listing of Shares?
7. Explain the issue management activities of a Merchant banker.
8. Mention the steps in Dematerialisation of Shares.
9. List the functions of a Stock Exchange.
10. What is T+3 settlement?
11. What is SCORES?
12. The Central Government can remove a member from SEBI office. When?

(10×2=20)

Part B

*Answer any **six** questions.*

*Each question carries **5** marks.*

13. What is the role of Security market as Allocator of Capital?

14. What is the difference between IPO and FPO?

15. Write a comparison on right issue and bonus issue.

16. Enumerate the steps involved in public issue of shares.

17. Explain about the intermediaries in the new issue market.

18. Enumerate the factors influencing prices in Stock Exchange.

19. What are the factors influencing the price of shares in a stock exchange?

20. What do you know about Bomboy Stock Exchange and National Stock Exchange?

21. Discuss about the purpose and functions of SEBI.

(6×5=30)

Part C

*Answer any **two** questions.*

*Each question carries **15** marks.*

22. What do you mean by a Debt instrument. Explain the types of Debt instruments with the help of a diagram.

23. Explain in detail the different types of investors with the help of a pie-diagram.

24. Write a short note on Depository System. Explain about the two depositories in India? What are the functions of a Depository.

25. Explain in detail the process of trading of trading in Stock exchange.

(2×15=30)