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Maximum Weight : 5

Section II

[TO BE ATTACHED TO THE MAIN ANSWER-BOOK]

U.G. CBCSS INTERNAL EXAMINATION, MAY 2024

DO NOT WRITE YOUR REGISTER NUMBER OR NAME ANYWHERE IN SECTION II OF THE QUESTION PAPER

Answer **all** questions.

All questions carry equal weight.

Put a tick mark [✓] in the column against the correct answer.

1. _____ is the fictitious transaction.

- | | | | |
|---------------------|--------------------------|--------------------|--------------------------|
| (A) Margin trading. | <input type="checkbox"/> | (B) Arbitrage. | <input type="checkbox"/> |
| (C) Wash sale. | <input type="checkbox"/> | (D) None of these. | <input type="checkbox"/> |

2. In _____ contract the seller is referred to as a 'writer'.

- | | | | |
|--------------|--------------------------|--------------------|--------------------------|
| (A) Forward. | <input type="checkbox"/> | (B) Option. | <input type="checkbox"/> |
| (C) Swap. | <input type="checkbox"/> | (D) None of these. | <input type="checkbox"/> |

3. _____ measured in dollar term.

- | | |
|-----------------------------|--------------------------|
| (A) S and P CNX nifty. | <input type="checkbox"/> |
| (B) BSE SENSEX. | <input type="checkbox"/> |
| (C) BSE 200 AND DOLLEX 200. | <input type="checkbox"/> |
| (D) None of these. | <input type="checkbox"/> |

4. Base year of nifty is :

- | | | | |
|-----------|--------------------------|-----------|--------------------------|
| (A) 1992. | <input type="checkbox"/> | (B) 1978. | <input type="checkbox"/> |
| (C) 1987. | <input type="checkbox"/> | (D) 1995. | <input type="checkbox"/> |

Turn over





5. A lame duck :

- (A) Is a bull who does not keep his promise.
- (B) Is a bear who cannot keep his commitments.
- (C) Is a cautious speculator.
- (D) Is a premium hunter.

6. Bulls and bears are :

- (A) Ordinary Investors.
- (B) Government agencies.
- (C) Speculators.
- (D) Money Lenders.

7. _____ is the market where existence securities of the companies are traded.

- (A) Primary market.
- (B) Money market.
- (C) Secondary market.
- (D) None of these.

8. CDSL is established in :

- (A) 2000.
- (B) 1999.
- (C) 1998.
- (D) 1997.

9. A person appointed by a stock broker to assist him in the business of securities trading is called :

- (A) Sub broker.
- (B) Tara Wahiawa.
- (C) Authorized clerk.
- (D) None of these.

10. A person who sells the shares with the expectation of buying them in future at a reduced price :

- (A) Bull.
- (B) Bear.
- (C) Stag.
- (D) None of these.

11. _____ options are contract where exercise price is equal to spot price

- (A) At the money.
- (B) In the money.
- (C) Out the money.
- (D) None of these





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12. Risk involved in gambling is :

- (A) High. (B) Low.
(C) Very high. (D) None of these.

13. An _____ is a barometer for market behaviour.

- (A) Investment. (B) Index.
(C) Arbitrage. (D) None of these.

14. Depository system leads to :

- (A) Scripless system. (B) Online system.
(C) Offline system. (D) None of these.

15. The number of de-organised stock exchanges in India :

- (A) 4. (B) 5.
(C) 6. (D) 3.

16. An offer document in case of a public issue is :

- (A) Prospectus. (B) Red herring prospectus.
(C) Letter of offer. (D) None of these.

17. Which of the following is considered as a means of balance sheet financing ?

- (A) Derivatives. (B) Equities.
(C) Debts. (D) None of these.

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18. QIP stands for :

- (A) Qualified Institutional placement.
- (B) Qualified Industrial Placement.
- (C) Qualified Investment Placement.
- (D) None of these.

19. Depositories act is enacted in :

- (A) 1993. (B) 1998.
- (C) 1997. (D) 1996.

20. Group A consists of :

- (A) Cleared securities. (B) Non cleared securities.
- (C) Government securities. (D) None of these.

No. of MCQs attempted

Weight Scored

(To be entered by the examiner)

No. of MCQs not attempted

**ATTACH SECTION II INTERNAL EXAMINATION MCQ PAPER WITH
THE MAIN ANSWER-BOOK**

