

**MAHATMA GANDHI UNIVERSITY**

**SELF ASSESSMENT CUM PERFORMANCE APPRAISAL PROFORMA FOR  
PROMOTION OF TEACHERS UNDER CAREER ADVANCEMENT SCHEME**

*(As per UGC Minimum Qualifications for Appointment of Teachers and Other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education, Regulations, 2018-Implemented w.e.f. 18.07.2018 as per the Mahatma Gandhi University Order No: 5020/AC L/2019/MGU Priyadarshini Hills, dated 19.10.2019)*

**Promotion from Assistant Professor (Selection Grade/Academic Level 12) to  
Associate Professor (Academic Level 13A)**

**Part-A: General Information and Academic Background**

1. Name (in Block Letters) :
2. Father's /Mother's Name :
3. Date of Birth :
4. Sex :
5. Name of the Department :
6. Date of Entry in M. G. University service :
7. Current Designation & Academic Level :
8. Date of Last Promotion, if any :
9. Date of Declaration of Probation :
10. Stage for which you are an applicant for  
promotion under CAS :
11. Proposed date of eligibility for  
promotion as per eligibility conditions  
and CAS promotion criteria :
12. Indicate whether belongs to (SC/ST/  
OBC/ Minority/ Differently Abled) :
13. Address for Correspondence (with Pin  
Code) with Mobile No. and E-mail :
14. Permanent Address (with Pin Code) :
15. Non Qualifying period of service,  
LWA etc. if any :

16. Academic Qualifications

<i>Examinations</i>	<i>Name of the Board/University</i>	<i>Year of Passing</i>	<i>% of marks obtained</i>	<i>Division/ Class/ Grade</i>	<i>Subject</i>
Graduation					
Post Graduation					
Others					

17. Research Degrees

<i>Degree</i>	<i>Title of Thesis/ Dissertation</i>	<i>Date of Award with Reg. No.</i>	<i>Discipline/ Subject</i>	<i>University</i>
M.Phil.				
Ph.D.				
Any other*				

\*Professional qualification such as M. Tech.,etc.

18. Field(s) of specialization

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19. Appointments held prior to Joining the Current Department

<i>Designation</i>	<i>Name of Employer</i>	<i>Nature of Appointment</i>	<i>Date of Joining- Leaving</i>	<i>Salary with grade pay/ Level</i>	<i>Reason for Leaving</i>	<i>Reason for Leaving</i>

20. Details of Courses attended as per UGC Regulations, 2018

<i>Name of the Course</i>	<i>Place</i>	<i>Duration and Date</i>	<i>Name &amp; Details of Institution/MOOC</i>

21. Published Papers in Journals

Sl. No.	Details of the Publication - Authors, Title, Name of the Journal , Issue (month and year) and Page nos	ISSN/ ISBN No.	Whether peer reviewed /UGC CARE list.	International /National /Regional	Impact factor, indexed if any with details

## 22. Evidence of Ph.D. Guidance

Sl. No.	Name of Ph.D. Scholar	Title of the thesis	Degree awarded /Thesis Submitted with month and year	Details of University order	Supervisor / Co-supervisor

I hereby declare that the information/documents provided by me are correct and verifiable, and I have carefully read the relevant provisions relating to promotion under Career Advancement Scheme (CAS) contained in UGC Regulations, 2018 as amended from time to time.

Place:

Signature:

Date:

Name and Designation:

## **Year wise Annual Performance Assessment Report as provided in UGC Regulation, 2018**

### **Part – B: Assessment Criteria and Methodology**

*(Applicants are required to refer to the relevant provisions of UGC Regulations, 2018 and Appendix II, Table 1 & 2))*

**Activity 1: Teaching**

<i>Year</i>	<i>Mode of Teaching</i>	<i>Total Classes Assigned</i>	<i>Number of Classes taught as per documented record</i>	<i>[No. of classes taught/Total No. of classes assigned]x100 %</i>	<i>Grading (Self)</i>	<i>Grading (IQAC)</i>	<i>Grading *(SEC/SC)</i>

*Add/delete rows as required, \*SEC-Screening cum Evaluation Committee/ SC- Selection Committee*

**Activity 2: Involvement in Students Related Activities/ Research Activities**

*(Refer Annexure II, Table 1 of UGC Regulations, 2018)*

<i>Year</i>	<i>Detail of Activities</i>	<i>Number of Activities during Assessment Period</i>	<i>Grading (Self)</i>	<i>Grading (IQAC)</i>	<i>Grading *(SEC/SC)</i>

*Add/delete rows as required, \*SEC-Screening cum Evaluation Committee/ SC- Selection Committee*

**Activity 3: Academic/ Research Score – year wise**

*(Refer Annexure II, Table 2 of UGC Regulations, 2018)*

S.N	Academic/ Research Activity	Faculty of Sciences /Engineering / Agriculture / Medical /Veterinary Sciences	Faculty of Languages / Humanities / Arts / Social Sciences / Library /Education / Physical Education / Commerce / Management & other related disciplines	Score (Self)	Score (IQAC )	Score *(SEC/ SC)
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1	<b>Research Papers in Peer-Reviewed or UGC listed Journals</b>					
2	<b>Publications (other than Research papers)</b>					
	<b>(a) Books authored which are published by ;</b>					
	International publishers					
	National Publishers					
	Chapter in Edited Book					
	Editor of Book by International Publisher					
	Editor of Book by National Publisher					
	<b>(b) Translation works in Indian and Foreign Languages by qualified faculties</b>					
	Chapter or Research paper					

	Book					
<b>3</b>	<b>Creation of ICT mediated Teaching Learning pedagogy and content and development of new and innovative courses and curricula</b>					
	<b>(a) Development of Innovative pedagogy</b>					
	<b>(b) Design of new curricula and courses</b>					
	<b>(c) MOOCs</b>					
	Development of complete MOOCs in 4 quadrants (4 credit course) (In case of MOOCs of lesser credits 05 marks/credit)					
	MOOCs (developed in 4 quadrant) per module/lecture					
	Content writer/subject matter expert for each module of MOOCs (at least one quadrant)					

	Course Coordinator for MOOCs (4 credit course) (In case of MOOCs of lesser credits 02 Marks/credit)					
	<b>(d) E-Content</b>					
	Development of e-Content in 4 quadrants for a complete course/e-book					
	e-Content (developed in 4 quadrants) per module					
	Contribution to development of e-content module in complete course/paper/e-book (at least one quadrant)					
	Editor of e-content for complete course/ paper /e-book					
<b>4</b>	<b>(a) Research guidance</b>					
	Ph.D.					
	M.Phil./P.G dissertation					
	<b>(b) Research Projects Completed</b>					
	More than 10 lakhs					
	Less than 10 lakhs					

	<b>(c) Research Projects Ongoing :</b>					
	More than 10 lakhs					
	Less than 10 lakhs					
	<b>(d) Consultancy</b>					
<b>5</b>	<b>(a) Patents</b>					
	International					
	National					
	<b>(b) *Policy Document (Submitted to an International body/organisation like UNO/UNESCO/World Bank/International Monetary Fund etc. or Central Government or State Government)</b>					
	International					
	National					
	State					
	<b>(c) Awards/Fellowship</b>					
	International					
	National					
<b>6</b>	<b>*Invited lectures / Resource Person/ paper presentation</b>					

	<b>in Seminars/ Conferences/ ull paper in Conference Proceedings (Paper presented in Seminars/Con ferences and also published as full paper in Conference Proceedings will be counted only once)</b>					
	International (Abroad)					
	International (within country)					
	National					
	State/Universit y					
<b>Total Score</b>						

*\*SEC-Screening cum Evaluation Committee/ SC- Selection Committee*

**The Research score for research papers would be augmented as follows:**

Peer-Reviewed or UGC-listed Journals (Impact factor to be determined as per Thomson Reuters list):

- i) Paper in refereed journals without impact factor - 5 Points
- ii) Paper with impact factor less than 1 - 10 Points
- iii) Paper with impact factor between 1 and 2 - 15 Points
- iv) Paper with impact factor between 2 and 5 - 20 Points
- v) Paper with impact factor between 5 and 10 - 25 Points
- vi) Paper with impact factor >10 - 30 Points

(a) Two authors: 70% of total value of publication for each author.

(b) More than two authors: 70% of total value of publication for the First/Principal/Corresponding author and 30% of total value of publication for each of the joint authors.

Joint Projects: Principal Investigator and Co-investigator would get 50% each.

**Note:**

- Paper presented if part of edited book or proceeding then it can be claimed only once.
- For joint supervision of research students, the formula shall be 70% of the total score for Supervisor and Co-supervisor. Supervisor and Co-supervisor, both shall get 7 marks each.
- \*For the purpose of calculating research score of the teacher, the combined research score from the categories of 5(b). Policy Document and 6. Invited lectures/Resource Person/Paper presentation shall have an upper capping of thirty percent of the total research score of the teacher concerned.
- The research score shall be from the minimum of three categories out of six categories.

**Part-C: Other Relevant Information**

Please give details of any other credential, significant contributions, and awards received etc. not mentioned earlier:

Sl. No.	Details (Mention Year, Value etc. where relevant)

(Attach documentary proof in support of the information provided by you in this proforma)

**List of Enclosures:**

*(Please attach, copies of certificates, sanction orders, papers etc. whichever necessary)*

**Overall Grading (Refer UGC Regulations, 2018)**

Grade of Activity 1	Grade of Activity 2	Overall Grading	Research Score

I hereby declare that the information/documents provided by me are correct and verifiable, and I have carefully read the relevant provisions relating to promotion under Career Advancement Scheme (CAS) contained in UGC Regulations, 2018 as amended from time to time.

Place:  
Date:

Signature of Applicant:  
Name and Designation:

Certified that Mr./Ms./Dr.....has been working as  
.....in the department since.....  
The particulars given in this application have been checked and verified from the office records and are found to be correct.

Place: Head of the Department

Date:

**SUMMARY OF OVERALL GRADING OF SELF ASSESSMENT CUM PERFORMANCE  
APPRAISAL FOR PROMOTION OF TEACHERS  
UNDER CAREER ADVANCEMENT SCHEME  
Promotion from Assistant Professor (Selection Grade/Academic Level 12) to  
Associate Professor (Academic Level 13A)**

1. Name (in Block Letters) :
2. Name of the Department :
3. Date of Entry in M. G. University service :
4. Current Designation & Academic Level :
5. Stage for which you are an applicant for  
promotion under CAS :
6. Proposed date of eligibility for promotion as per

eligibility conditions and CAS promotion criteria :

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**Part A : Eligibility** (Refer UGC Regulations, 2018)

Sl. No.	Eligibility parameter	Achieved/Not achieved (Yes/No)	Approval by IQAC	Verified *(SEC/SC)
1.	Required years of service completed ( 3 years)			
2.	Ph.D. Degree			
3.	Academic training programme/s as per UGC Regulation, 2018			
4.	Total 7 Research publications out of which 3 research papers should have been published during the assessment period			
5.	Evidence of having guided at least 1 Ph.D. candidate			

\*SEC-Screening cum Evaluation Committee/ SC- Selection Committee

**Part B: CAS promotion criteria**

Overall Grading and Research score (Refer UGC Regulations, 2018)

Year wise	Grade of Activity 1	Grade of Activity 2	Overall Grading claimed	Research Score achieved (Total minimum 70)	Verified by IQAC	Verified by *SEC/SC
<b>Cumulative Grading</b>						

\*SEC-Screening cum Evaluation Committee/ SC- Selection Committee

**Verified by**

**Signature :**

**Name of the IQAC Member :**

**Place :**

**Date :**

*Recommendation by Screening cum Evaluation Committee/ SC- Selection Committee:*

**Place :**

**Date :**